

K M P S & ASSOCIATES

CHARTERED ACCOUNTANTS

H. O.:102, Yashwant Tower CHSL, Opp. Gurukul Auto Stand, Panchpakhadi, Thane - 400602. Tel-25412102

Branch: 46, R. K. Building, Manorama Nagarkar Road, Taikalwadi, Shivaji Park, Mumbai - 400016. Tel: 24306605

To

The Board of Directors

TATA Pension Management Private Limited

19th Floor, Parinee Crescenzo,

G Block, BKC, Bandra East,

Mumbai – 400 051

Subject: Certificate from the Auditor for the adherence with Voting Policy and Guidelines issued by PFRDA for TATA Pension Management Private Limited (TPMPL) for the financial year ended March 31, 2024.

1. Introduction

This certificate is issued in our capacity as the Internal Auditor of Pension Fund Schemes managed by **TATA Pension Management Private Limited (TPMPL)** (“the AMC”) as requested by the Management of the company and as required under Voting Policy and Guidelines issued by PFRDA dated 20/04/2017 for the purpose of compliance with voting policy on assets held by NPS Trust on behalf of NPS subscribers for the financial year ended 31st March, 2024.

2. Management’s Responsibility

The Management of the Company is responsible for reviewing and ensuring that it has voted on important decisions that may affect the interest of investors and the rationale recorded for voting decision is prudent and adequate in accordance with PFRDA circular no PFRDA/2017/17/PF/1 dated: 20/04/2017. The Management is also responsible to maintain suitable records to substantiate the adherence to the circular as aforesaid. Further, this responsibility includes ensuring that the relevant records provided to us for our examination are correct and complete.

3. Our Responsibility

Our responsibility is to certify whether the PFM has complied with the PFRDA Guidelines for the period ended 31st March, 2024.

We have complied with the relevant applicable requirements of the Standard on Quality Control (SQC) 1, Quality Control for Firms that Perform Audits and Reviews of Historical Financial Information, and Other Assurance and Related Services Engagements.

4. Opinion

On the basis of verification of relevant records and minutes of the Investment Committee meetings of TATA Pension Management Private Limited (TPML), we certify that the Company has voted on all important decisions that may affect the interest of investors according to the Guidelines and the consensus received from the pension fund coordinator.

5. Restriction on Use

This Certificate has been issued at the request of the Pension Fund solely for the purpose of submission by the Pension Fund to the NPS Trust & PFRDA and should not be used for any other purpose or by any person other than the addressees of this report.

For KMPS & Associates
Chartered Accountants
Firm Reg. No.115956W

A handwritten signature in black ink that reads "KKate" with a horizontal line underneath it.

Kamlesh Kate
Partner
M. No. 117656
Mumbai, April 09, 2024
UDIN: 24117656BKCMAC7543